

PTO/SB/08 (2-92)

Sheet 1 of 3

Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 263742000201

Application Number 09/836,625

Applicant

Bruce J. ROSER, et al.

Filing Date April 16, 2001

Group Art Unit 1642

Mailing Date: September 12, 2002

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## U.S. PATENT DOCUMENTS

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Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
✓	1.	01/26/1971	3,557,717	Chivers			
	2.	11/09/1971	3,619,294	Black et al.			
	3.	01/04/1972	3,632,357	Childs			
	4.	04/11/1972	3,655,442	Schwer et al.			
	5.	11/28/1978	4,127,502	Li Mutti et al.			
	6.	06/19/1979	4,158,544	Louderback			
	7.	04/27/1982	4,327,076	Puglia et al.			
	8.	04/27/1982	4,327,077	Puglia et al.			
	9.	05/13/1986	4,588,744	McHugh			
	10.	10/20/1987	4,701,417	Portenhauser et al.			
	11.	08/09/1988	4,762,857	Bollin, Jr. et al.			
	12.	09/12/1989	4,865,871	Livesey et al.			
	13.	11/28/1989	4,883,762	Hoskins			
	14.	01/02/1990	4,891,319	Roser <i>Dupl</i>			
	15.	06/25/1991	5,026,566	Roser			
	16.	03/24/1992	5,098,893	Franks et al.			
	17.	09/22/1992	5,149,653	Roser			
	18.	09/07/1993	5,242,792	Rudolph et al.			
	19.	03/01/1994	5,290,765	Wettlaufer et al.			
	20.	09/20/1994	5,348,852	Bonderman			
	21.	06/06/1995	5,422,384	Samuels et al.			
	22.	04/30/1996	5,512,547	Johnson et al.			
✓	23.	03/18/1997	5,612,315	Pikal et al.			

## FOREIGN PATENT DOCUMENTS

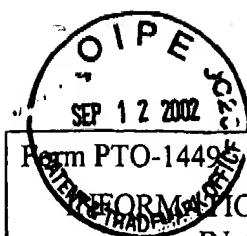
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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
✓	24.	06/13/1979	GB 2 009 198 A	Britain			
↓	25.	03/28/1984	GB 2 126 588 A	Britain			
	26.	01/05/1989	GB 2 206 273 A	Britain			
↓	27.	03/06/1991	EP 0 415 567 A2	Europe			
	28.	08/07/1985	60-149972 A2	Japan	} could not examine - not in English		
	29.	05/11/1988	63-106562 A2	Japan			
✓	30.	01/15/1987	WO 87/00196 A1	WIPO			
↓	31.	07/27/1989	WO 89/06542 A1	WIPO			
	32.	05/03/1990	WO 90/04329 A1	WIPO			
	33.	11/15/1990	WO 90/13637 A1	WIPO			
	34.	02/20/1992	WO 92/02133 A1	WIPO			
↓	35.	02/03/1995	WO 95/05809 A1	WIPO	examined only to the extent of the abstract		English abstract only
	36.	12/14/1995	WO 95/33488 A1	WIPO			
↓	37.	12/19/1996	WO 96/40077 A2, A3	WIPO			

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Examiner Initials	Ref. No.	Title
✓	38.	Anonymous, (1995). "Biochemicals Organic Compounds for Research and Diagnostic Reagents," <i>SIGMA Chemical Company Catalogue</i> , pp. 995.
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	41.	Colaco, C. et al. (September 1992). "Extraordinary Stability of Enzymes Dried in Trehalose: Simplified Molecular Biology," <i>BIO/Technol.</i> 10:1007-1011.
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HEU-11

43.	Furtado, M.D.F.D. (1991). "Quality Control of Animal Venoms and of Their Correspondent Antivenoms I. Standardization of the Assay Methods to Analyze the Biochemical and Pharmacological Properties of Venoms from Some Snakes Belonging to the Genus <i>Bothrops</i> and <i>Crotalus</i> by Using Samples of Venoms Dried on at Room Temperature or Lyophilized," <i>Mem. Inst. Butantan</i> 53(2):149-159. (in original version).
44.	Gillies, S.D. and Wesolowski, J.S. (1990). "Antigen Binding and Biological Activities of Engineered Mutant Chimeric Antibodies with Human Tumor Specificities," <i>Hum. Antibod. Hybridomas</i> 1(1):47-54.
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48.	Lazar, E. et al. (March 1988). "Transforming Growth Factor $\alpha$ : Mutation of Aspartic Acid 47 and Leucine 48 Results in Different Biological Activities," <i>Mol. &amp; Cell. Biol.</i> 8(3):1247-1252.
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55.	Wang, Y.J. et al., eds. (1993). <u>Stability and Characterization of Protein and Peptide Drugs</u> . Plenum Press: New York, NY., pp. 1058.
56.	Yousri, R.M. (1980). "Protein Aggregation in Aqueous Casein Solution; Effect of Irradiation, Dose level, Concentration, Storage, and Additives (Carbohydrate and Lipid)," <i>Z. Ernährungswiss</i> 19(2):111-121.

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JS	1.	07/03/1984	4,457,916	Hayashi et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
JS	2.	03/19/1997	EP 0 762 897	Europe			

## OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
JS	3.	Arakawa, T. et al. (1993). "Factors Affecting Short-Term and Long-Term Stabilities of Proteins," <i>Advanced Drug Delivery Reviews</i> 10:1-28.
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	6.	Crowe, J.H. et al. (1990). "Are Freezing and Dehydration Similar Stress Vectors? A Comparison of Modes of Interaction of Stabilizing Solutes with Biomolecules," <i>Cryobiology</i> 27:219-231.
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✓	11.	Manning, M.C. et al. (1989). "Stability of Protein Pharmaceuticals," <i>Pharmaceutical Research</i> 6(11):903-917.

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| 12. | Newman, Y.M. et al. (1993). "The Role of Trehalose and Other Carbohydrates in Biopreservation," <i>Biotechnology and Genetic Engineering Reviews</i> 11(5):263-294.       |
| 13. | Paborji, M. et al. (1994). "Chemical and Physical Stability of Chimeric L6, a Mouse-Human Monoclonal Antibody," <i>Pharmaceutical Research</i> 11(5):764-771.             |
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